

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18
Stylesheet Version v18.0

Title of Invention SYSTEMS AND METHODS FOR ELECTROSURGICAL TREATMENT OF SLEEP OBSTRUCTIVE DISORDERS

Application Number:

10/613609

Confirmation Number:

7304

First Named Applicant:

Ronald Underwood

Attorney Docket Number:

Search string:

(5496314 or 5496317 or 5571100 or 5633578 or 5860975 or 4682596 or 5078717 or 5267994 or 5273524 or 5300069 or 5312400 or 5334140 or 5342357 or 5514130 or 5569242 or 4593691 or 4931047 or 4998933 or 5178620 or 5366443 or 5380277 or 5419767 or 5697281 or 5697882 or 5697909 or 4532924 or 4765331 or 4976711 or 5125928 or 4043342 or 4228800 or 4248231 or 4326529 or 4381007 or 4476862 or 4706667 or 5009656 or 5584872 or 5609151 or 5676693 or 5725524 or 4232676 or 5007908 or 5370675 or 5383917 or 4040426 or 4116198 or 4548207 or 4823791 or 5098431).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

[ir	nit	Cite.No.	Patent No.	Date	Patentee	Kind	Cla	SS	Sut	ctass
U		1	5495314	1996-03-05	Eggers					
	\prod	2	5496317	1996-03-05	Goble et al.					
		3	5571100	1996-11-05	Goble et al.			1		
	$ brack egin{smallmatrix} egi$	4	5633578	1997-05-27	Eggers et al.					
	\prod	5	5860975	1999-01-19	Goble et al.		i			
		6	4682596	1987-07-28	Bales et al.					
	$ m I\! J$	7	5078717	1992-01-07	Parins et al.					
		8	5267994	1993-12-07	Gentelia et al.					
	Z	9	5273524	1993-12-28	Fox et al.					
I	Y	10	5300069	1994-04-05	Hunsberger et al.					
	\neg				·				- 1	

APP_ID=10613609

page 1 of 3

CIPE	
OCT 0 5 2000	Price
PATE TRADEN	, _

ELP	11	5312400	1994-05-17	Bales et al.
Ħ	12	5334140	1994-08-02	Philips
Ħ	13	5342357	1994-08-30	Nardella
H	14	5514130	1996-05-07	Baker
H	15	5569242	1996-10-29	Lax et al.
H	16	4593691	1986-06-10	Lindstrom et al.
Ħ	17	4931047	1990-06-05	Broadwin et al.
H	18	4998933	1991-03-12	Eggers et al.
Ħ	19	5178620	1993-01-12	Eggers et al.
丌	20	5366443	1994-11-22	Eggers et al.
巿	21	5380277	1995-01-10	Phillips
	22	5419767	1995-05-30	Eggers et al.
П	23	5697281	1997-12-16	Eggers et al.
	24	5697882	1997-12-16	Eggers et al.
П	25	5697909	1997-12-16	Eggers et al.
П	26	4532924	1985-08-06	Auth et al.
\prod	27	4765331	1988-08-23	Petruzzi et al.
\sqcap	28	4976711	1990-12-11	Parins et al.
	29	5125928	1992-06-30	Parins et al.
	30	4043342	1997-08-23	Morrison, Jr.
	31	4228800	1980-10-21	Degler, Jr. et al.
\Box	32	4248231	1981-02-03	Herczog et al.
	33	4326529	1982-04-27	Doss et al.
	34	4381007	1983-04-26 ·	Doss
	35	4476862	1984-10-16	Pao
	36	4706667	1987-11-17	Roos
\Box	37	5009656	1991-04-23	Reimels
	38	5584872	1996-12-17	LaFontaine et al.
\coprod	39	5609151	1997-03-11	Mulier et al.
\coprod	40	5676693	1997-10-14	LaFontaine et al.
\coprod	41	5725524	1998-03-10	Mulier et al.
\coprod	42	4232676	1980-11-11	Herczog
\coprod	43 "	5007908	1991-04-16	Rydell
	. 44	5370675	1994-12-06	Edwards et al.
4	45	5383917	1995-01-24	Desai et al.
W	46	4040426	1977-08-09	Morrison, Jr.
1				

	47	4116198	1978-09-26	Roos
W	48	4548207	1985-10-22	Reimels
W	49	4823791	1989-04-25	D'Amelio et al.
	50	5098431	1992-03-24	Rvdeli

Remarks

Note: Remarks are not for responding to an office action.

This submission is part 2 of 6 parts each submitted on October 2, 2003. The first part of this submission (part 1) has been concomittently filed via first class priority mail in a box with instructions for the PTO to charge the proper fee. Accordingly, we do not believe that this part (part 2) of this IDS submission should require an additional fee. Please charge our deposit account not more than one IDS submission fee for the entire submission consisting of 6 parts. Thank you.

Signature

Examiner Name	Date
Willey	7/02/05



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18
Stylesheet Version v18.0

Title of Invention SYSTEMS AND METHODS FOR ELECTROSURGICAL TREATMENT OF SLEEP OBSTRUCTIVE DISORDERS

Application Number:

10/613609

Confirmation Number:

7304

First Named Applicant:

Ronald Underwood

Attorney Docket Number:

Search string:

(5122138 or 5190517 or 5192280 or 5330470 or 5366443 or 5647869 or 5662680 or 5417687 or 5843019 or 5871469 or 4967765 or 5197963 or 5267997 or 5290282 or 5314406 or 5324254 or 5383876 or 5395312 or 5944715 or 4202337 or 4674499 or 4936301 or 4943290 or 4979948 or 5035696 or 5195959 or 5700262 or 5807395 or 5496312 or 5556397 or 5681282 or 5683366 or 5697536 or 5766153 or 5810764 or 5810809 or 5885277 or 5897553 or 6126682 or 6168593 or 5423810 or 5436566 or 5438302 or 6004319 or 6013076 or 6015406 or 6027501 or 6039734 or 6056746 or 6068628).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

in	it	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	Ø	1	5122138	1992-06-16	Manwaring			
		2	5190517	1993-03-02	Zieve et al.		Ì	
		3	5192280	1993-03-09	Parins ·		İ	
		4	5330470	1994-07-19	Hagen			
		5	5366443	1994-11-22	Eggers et al.	·	1	
		6	5647869	1997-07-15	Goble et al.			
		7	5662680	1997-09-02	Desai		ł	
П		8	5417687	1995-05-23	Nardella et al.			
G		9	5843019	1998-12-01	Eggers et al.			
U	I	10	5871469	1999-02-16	Eggers et al.		i	

	_	_	
W	Ū	Z	2003

NA	1	4967765	1990-11-06	Turner.et.al
	12	5197963	1993-03-30	Parins
-				Farin et al.
╁╬	13	5267997	1993-12-07	
	14	5290282	1994-03-01	Casscells
	15	5314406	1994-05-24	Arias et al.
	16	5324254	1994-06-28	Phillips
	17	5383876	1995-01-24	Nardella
\prod	18	5395312	1995-03-07	Desai
	19	5944715	1999-08-31	Goble et al
	20	4202337	1980-05-13	Hren et al.
	, 21	4674499	1987-06-23	Pao
	22	4936301	1990-06-26	Rexroth et al.
	23	4943290	1990-07-24	Rexroth et at.
	24	4979948	1990-12-25	Geddes et al.
Ti	25	5035696	1991-07-30	Rydell
	26	5195959	1993-03-23	Smith
	27	5700262	1997-12-23	. Acosta et al.
Ti	. 28	5807395	1998-09-15	Mulier et al.
	29	5496312	1996-03-05	Klicek
	30	5556397	1996-09-17	Long et al.
	31	5681282	1997-10-28	Eggers et al.
	32	5683366	1997-11-04	Eggers et al.
	33	5697536	1997-12-16	Eggers et al.
	34	5766153	1998-06-16	Eggers et al.
	35	5810764	1998-09-22	Eggers et al.
	36	5810809	1998-09-22	Rydell
Hi	37	5885277	1999-03-23	Korth
H	38	5897553	1999-04-27	Mulier
H	39	6126682	2000-10-03	Sharkey et al.
H	40	6168593	2001-01-02	Sharkey et al.
H	41	5423810	1995-06-13	Goble et al.
H	42	5436586	1995-07-25	Thompson et al.
H	43	5438302	1995-08-01	Goble
H	44	6004319	1999-12-21	Goble et al.
#	45	6013076	2000-01-11	Goble et al.
*	46	6015406	2000-01-18	Goble et al.
	40	J	2000-01-70	

. / 0	IRE	1
(· OCT	0 2 202	25.8
PATER		FO
Ve 1	PADENI	

E P	47.	6027501	. 2000-02-22	· Goble et al.
W	48	6039734	2000-03-21	Goble et al.
TUP!	49	6056746	2000-05-02	Goble et al.
W	50	6068628	2000-05-30	Fanton et al.

Remarks

Note: Remarks are not for responding to an office action.

This submission is part 3 of 6 parts each submitted on October 2, 2003. The first part of this submission (part 1) has been concomittently filed via first class mail with instructions for the PTO to charge the proper fee. Accordingly, we do not believe that this part (part 3) of this IDS submission should require an additional fee. Please charge our deposit account not more than one IDS submission fee for the entire submission consisting of 6 parts. Thank you.

Signature

Examiner Name	Date
Waltry	70205

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18 Stylesheet Version v18.0

> Title of Invention

SYSTEMS AND METHODS FOR ELECTROSURGICAL TREATMENT OF SLEEP OBSTRUCTIVE DISORDERS

Application Number:

10/613609

Confirmation Number:

7304

First Named Applicant:

Ronald Underwood

Attorney Docket Number:

Search string:

(6074386 or 6090106 or 6093186 or 6228081 or 6261286 or 6293942 or 6306134 or 3815604 or 3920021 or 4590934 or 4785823 or 4805616 or 4832048 or 5085659 or 5171311 or 5207675 or 5888198 or 5891095 or 5902272 or 6066134 or 6149620 or 6159194 or 6159208 or 6179836 or 6190381 or 6203542 or 6224592 or 6235020 or 6238391 or 6254600 or 6264652 or 6296636 or 6296638 or 6312408 or 6322549 or 6355032 or 6363937 or 6379351 or 6391025 or 6416507 or 6416508 or 6432103 or 5873855 or 6024733 or 6063079 or 6109268 or 6117109 or 6142992

or 6179824 or 6183469).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
W	1	6074386	2000-06-13	Goble et al.		.	(
	2	6090106	2000-07-18	Goble et al.		1	. \
	3	6093186	2000-07-25	Goble et al.]		1
	4	6228081	2001-05-08	Goble]		1
	5	6261286	2001-07-17	Goble et al.			.
	6	6293942	2001-09-25	Goble et al.			
	7	6306134	2001-10-23	Goble et al.]		1
	8	3815604	1974-06-11	O'Malley et al.			}
V	9	3920021	1975-11-18	Hiltebrandt]		
W	10	4590934	1986-05-27	Malis et al.			
					1		

APP_ID=10613609

page 1 of 3

·	0	1	ma
1001	•	_	

PART PRADEM					
MADEN	T _U	Pl11 ·	4785823	1988-11-22	Eggers et al
		12	4805616	1989-02-21	Pao
		13	4832048	1989-05-23	Cohen
		14	5085659	1992-02-04	Rydell
		15	5171311	1992-12-15	Rydell et al.
	П	16	5207675	1993-05-04	Canady
		17	5888198	1999-03-30	Eggers et al.
		18	5891095	1999-03-30	Eggers et al.
		19	5902272	1999-05-11	Eggers et al.
•		20	6066134	2000-05-23	Eggers et al.
		21	6149620	2000-11-21	Baker et al.
		22	6159194	2000-12-12	Eggers et al.
		23	6159208	2000-12-12	Hovda et al.
		24	6179836	2001-01-30	Eggers et al.
		25	6190381	2001-02-20	Olsen et al.
		26	6203542	2001-03-20	Elisberry et al.
		27	6224592	2001-05-01	Eggers et al.
		28	6235020	2001-05-22	Cheng et al.
		29	6238391	2001-05-29	Olsen et al.
•		30	6254600	2001-07-30	Willink et al.
		31	6264652	2001-07-24	Eggers et al.
		32	6296636	2001-10-02	Cheng et al.
		33	6296638	2001-10-02	Davison et al.
		34	6312408	2001-11-06	Eggers et al.
		35	6322549	2001-11-27	Eggers et al.
		36	6355032	2002-03-12	Hovda et al.
		37	6363937	2002-04-02	Hovda et al.
		38	6379351	2002-04-30	Thapliyal et al.
		39	6391025	2002-05-21	Weinstein et al.
		40	6416507	2002-07-09	Eggers et al.
		41	6416508	2002-07-09	Eggers et al.
		42	6432103	2002-08-13	Elisberry et al.
		43	5873855	1999-02-23	Eggers et al.
		44	6024733	2000-02-15	Eggers et al.
		45	6063079	2000-05-16	Hovda et al.
	M	46	6109268	2000-08-29	Thapliyal et al.
			1 1		

APP_ID=10613609

OIPE CIE

WPL	. 47 61.17109		. 2000-09-12	Eggers et al		
MP	48	6142992	2000-11-07	Cheng et al.		
MP	49	6179824	2001-01-30	Eggers et al.		
MP	50	6183469	2001-02-06	Thapliyal et al.		

Remarks

Note: Remarks are not for responding to an office action.

This submission is part 4 of 6 parts each submitted on October 2, 2003. The first part of this submission (part 1) has been concomittently filed via first class priority mail in a box with instructions for the PTO to charge the proper fee. Accordingly, we do not believe that this part (part 4) of this IDS submission should require an additional fee. Please charge our deposit account not more than one IDS submission fee for the entire submission consisting of 6 parts. Thank you.

Signature

Examiner Name	Date		
1 Way	700105		

FORM PTO-1449 (Modified)			Attorney Docket No.	Applica	Application No.:		
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessar)		E-11		10/613,609			
		C.	Applicant: RONDALD A.	UNDERWO	OD .		
(OCT 0 6 2003 S			Filing Date: JULY 2, 2003	Group: 3763			
Reference	Designation .	Trangular U.S.	PATENT DOCUMENTS	· 	·		
Examiner Initial	Document No.	Date	Name	Class	Sub- class	Filing Date	
				1			
			GN PATENT DOCUMENTS				
	Document No.	Date	Country	Class	Sub- clase	Translation (yes/no)	
TH CX	97/30644	8/28/97	MO			·	
Mf cz	97/30645	8/28/97	MO				
UP DA	97/30646	8/28/97	МО				
MP DB	97/30647	8/28/97	MO				
M DC	97/41785	11/13/97	NO .				
M DD	97/41786	11/13/97	MO				
DE DE	97/41787	11/13/97	MO				
ALL DF	97/41788	11/13/97	MO				
inf DC	97/43969	11/27/97	MO				
M DH	97/43970	11/27/97	MO				
an Di	97/43972	11/27/97	MO				
TO W	97/43973	11/27/97	MO				
DK	97/44092	11/27/97	NO				
DL DL	99/08613	2/25/99	MO	!	,		
	0740936	4/12/96	E9	¥		t No	
	OTHER ART	(Including Authority	or, Title, Date, Perti	ent Page	s, Rtc.)		
						······································	
				·			
	104.						
EXAMINER /	Ut 1de	DATE C	ONSIDERED 700	6	•		

. .